Blood Amyloid-β Oligomerization as a Biomarker of Alzheimer's Disease: A Blinded Validation Study

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- 14 Abstract.
- 15 **Background:** Oligometric amyloid- β (A β) is one of the major contributors to the pathomechanism of Alzheimer's disease
- (AD); A β oligomerization in plasma can be measured using a Multimer Detection System-Oligomeric A β (MDS-OA β) after incubation with spiked synthetic A β .
- **Objective:** We evaluated the clinical sensitivity and specificity of the MDS-OAβ values for prediction of AD.
- 19 Methods: The MDS-OAβ values measured using inBloodTM OAβ test in heparin-treated plasma samples from 52 AD patients
- in comparison with 52 community-based subjects with normal cognition (NC). The inclusion criterion was proposed by the
- NINCDS-ADRDA and additionally required at least 6 months of follow-up from the initial clinical diagnosis in the course
- 22 of AD.
- **Results:** The MDS-OA β values were 1.43 ± 0.30 ng/ml in AD and 0.45 ± 0.19 (p < 0.001) in NC, respectively. Using a
- cut-off value of 0.78 ng/ml, the results revealed 100% sensitivity and 92.31% specificity.
- ²⁵ **Conclusion:** MDS-OAβ to measure plasma Aβ oligomerization is a valuable blood-based biomarker for clinical diagnosis

INTRODUCTION

- of AD, with high sensitivity and specificity.
- 27 Keywords: Alzheimer's disease, amyloid-β, biomarker, blood, multimer detection system, oligomer

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pathogenesis, have become a promising candidate 36 for AD diagnosis. Efforts to measure AB oligomers 37 in humoral fluids are currently underway [5-7]. 38 However, cost-effective and non-invasive diagnostic 39 methods to detect AB oligomers are not currently 40 available in clinical practice. Furthermore, a clini-41 cally applicable method to measure AB oligomers 42 in the blood has not yet been reported. Due to the 43 invasiveness of lumbar puncture, the accessibility of 44 patients for cerebrospinal fluid (CSF) analysis is low, 45 limiting its general usage. Therefore, blood analysis 46 would be a beneficial complement to this shortcom-47 ing. However, there are several limitations of using 48 plasma AB as blood-based biomarker of AD. Firstly, 49 A β is highly diluted in blood [8, 9]. Furthermore, 50 AB can bind to and interfere with other protein and 51 peptides [10, 11], and undergo degradation [12] and 52 self-aggregation [13] in the blood. 53

An atypical approach, called the Multimer Detec-54 tion System-Oligomeric AB (MDS-OAB), was 55 introduced to measure the oligomerization dynam-56 ics in plasma samples after spiking synthetic AB 57 [14]. This essentially utilizes the MDS technique, 58 which can selectively detect oligomers in a given 59 sample [15, 16]. One study found that the level of 60 AB oligomers increased after spiking AB and incu-61 bation, in plasma samples of AD patients but not in 62 healthy normal subjects [14]. The elevated levels of 63 AB oligomers closely correlated with conventional 64 AD biomarkers, such as CSF $A\beta_{42}$ and Pittsburgh 65 compound B (PIB) positron emission tomography 66 (PET) standard uptake ratio, CSF phosphorylated tau, 67 and CSF total tau [17]. In this study, we aimed to val-68 idate the accuracy of MDS-OAB for measuring AB 69 oligomerization dynamics in heparin-treated plasma 70 samples from patients with AD and healthy con-71 trols. The objective of this study was to assess the 72 sensitivity and specificity of the MDS-OAB test in 73 differentiating plasma from AD patient and subjects 74 with normal cognition (NC). The positive predic-75 tive value (PPV) and negative predictive value (NPV) 76 were also evaluated. In addition, the MDS-OAB lev-77 els in AD patients was compared to the Clinical 78 Dementia Rating (CDR) scores, a numeric scale used 79 to quantify the severity of dementia symptoms. 80

81 MATERIALS AND METHODS

The study was supported by a contract research
 organization (CRO). This clinical study was approved
 by the Korea Ministry of Food and Drug Safety

(MFDS) and performed in accordance with its approved protocol (No.753). Approval by the institutional review board (IRB) of Seoul National University Bundang Hospital (IRB no.: E-1703/386-001) and Chung-Ang University Hospital (IRB no.:1722-008-272) were obtained. Furthermore, the study was conducted in compliance with Good Clinical Practice established by the Korea Ministry of Food and Drug Safety and International Council for Harmonization of Technical Requirements for Pharmaceuticals for Human Use and ethical principles of the Declaration of Helsinki.

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Materials

The samples were selected from repositories. It 98 was initiated on June 30, 2017 and continued until 99 September 14, 2017. 53 AD and 52 normal resid-100 ual plasma samples (both heparin-treated) collected 101 by Seoul National University Bundang Hospital and 102 Chung-Ang University Hospital were screened based 103 on the following inclusion/exclusion criteria. The 104 inclusion criteria for the NC group were as follows: 105 1) a community-based population; 2) no abnormal-106 ity on the Health Screening Questionnaire [18]; 3) 107 absence of memory complaints; 4) a Korean Demen-108 tia Screening Questionnaire score < 6[19]; 5) normal 109 general cognition (within 1 standard deviation of the 110 age- and education-adjusted norms of the Korean 111 version of the Mini-Mental State Examination [20] 112 and a score >26; 6) intact activities of daily living 113 (K-IADL < 0.42); 7) no depression (the short-form 114 Geriatric Depression Scale <7); 8) no history of thy-115 roid dysfunction, vitamin B12 deficiency, or folate 116 deficiency; 9) no abnormalities on the MRI scan; and 117 10) education for at least 6 years. A more stringent 118 inclusion criteria was applied to patients with AD: 1) 119 the probable AD criteria proposed by the National 120 Institute of Neurological and Communicative Dis-121 orders and Stroke and the Alzheimer's Disease and 122 Related Disorders Association (NINCDS-ADRDA) 123 [21], as well as the DSM-IV; 2) a follow-up of at 124 least 6 months to determine the clinical course of 125 AD by experienced neurologists; 3) male or female 126 patients between the ages of 50 to 80; and 4) education 127 for at least 6 years. The case records were reviewed 128 thoroughly. Exclusion criteria included the presence 129 of cognitive impairment other than AD, stroke, and 130 delirium. Detailed demographic data of subjects with 131 NC and AD are presented in Table 1. 132

The sample size was calculated considering the sensitivity and specificity for the clinical diagnosis

20	mograp.	nes of the subjects		study
Group	CDR	No. of subjects	Age (y)	MMSE
NC	0	52	60.5 ± 7.4	N/A
AD	0.5	25	71.3 ± 9.0	19.4 ± 4.1
	1	17	72.3 ± 10.1	17.9 ± 6.8
	2	9	68.2 ± 7.9	20.2 ± 6.3
	3	1	73	20

 Table 1

 Demographics of the subjects included in the study

Data presented as mean \pm standard deviation. NC, subject with normal cognition; AD, patient with Alzheimer's disease; MMSE, Mini-Mental State Examination.

of AD [22] and clinical trials of amyloid PET [23]. 135 The sensitivity of 70.9% for diagnosing AD by Beach 136 et al. was set as the predetermined sensitivity (P_0) 137 [22] and the sensitivity of 88.0% based on clini-138 cal trials of Vizamyl[™] Flutemetamol F 18 [23] as 139 the expected sensitivity (P_1) . Based on the sensi-140 tivities following the hypothesis and equation by K. 141 Hajian-Tilaki [24], a sample size of 47 was calculated. 142 Initially, 60 samples for each group were extracted 143 from the repositories. Of these, the CRO excluded 144 16 samples due to medical history and sample qual-145 ity issues. Finally, heparin-treated plasma samples 146 from 52 patients with AD and 52 subjects with NC 147 were selected considering the 10% dropout for this 148 study (Fig. 1). The MDS-OAB measurements using 149 the inBloodTM OAβ test (PeopleBio Inc., Gyeonggi-150 do, Republic of Korea) were taken twice successively, 151 and the average was used. The exclusion criteria of 152 samples were as follows: If an analyzer-related error 153 occurs during an analysis, conduct a re-test and an 154 error occurs in the re-test; if there was only one error 155 that was not corrected in the additional measurement; 156 if the number of remaining samples to be re-tested 157 was insufficient. All 104 samples were randomized 158 and anonymized for the test. For each sample, a ran-159 dom assignment number generated by an independent 160 statistician from Seoul National University Bundang 161 Hospital IRB was assigned as the sample identifica-162 tion number. 163

164 Assay description and procedure

The inBloodTMTM OAβ test (People Bio Inc., 165 Gyeonggi-do, Republic of Korea) was utilized to 166 quantify MDS-OAB values in heparin-treated plasma 167 from patients with AD and NC. The inBloodTM OAβ 168 test, based on MDS was a modified atypical sand-169 wich immunoassay for measuring oligometric A β . In 170 the MDS method, the epitope-overlapping antibodies 171 specific for the N-terminus of AB were used to cap-172 ture and to detect the AB oligomers. The epitopes for 173



Fig. 1. Enrollment of eligible subjects for MDS-OA β validation. AD, patient with Alzheimer's disease; NC, subject with normal cognition.

the 6E10 and W0-2-HRP antibodies overlapped at the N-terminus of $A\beta$, and mouse monoclonal anti-6E10 (BioLegend, San Diego, CA, USA) and anti-W0-2-HRP antibodies (Absolute Antibody Ltd, Oxford, UK) were therefore used to capture and to detect $A\beta$ oligomers, respectively.

Prior to the assay, aliquots of plasma samples were thawed at 37°C for 15 min. As indicated in the assay protocol of the inBloodTM OAB test, PBR-1 (synthetic AB made by PeopleBio Inc.) was spiked into plasma and the mixture was incubated at 37°C for 48 h. The incubated plasma sample mixture and serially diluted standard samples were added to each well of the plates. The plates were incubated at about 20 to 25°C for 1 h. After washing three times with washing buffer, W02-HRP antibody was added to the wells, and the plates were incubated for 1 h at about 20 to 25°C. To increase the sensitivity of detection, 100 µl/well of enhanced chemiluminescence substrate solution (Rockland Immunochemicals Inc., Limerick, PA, USA) was added, and the Relative Luminescence Unit (RLU) signal was detected using a Victor 3TM multi-spectrophotometer. Dilutions providing signal in the linear range of the standard curves were used for the conversion to RLU values to determine the concentration of oligomerized A β .

Statistical analysis

Average MDS-OA β value from the inBloodTM OA β tests of each group were compared using a two independent sample *t*-test. Receiver operating characteristic (ROC) analysis of the MDS-OA β values of the plasma samples from patients with AD and subjects with NC was performed to obtain the corresponding cut-off value for the highest area under the

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curve (AUC). The cut-off value obtained by the ROC 208 analysis was reverified by calculating the reference 209 interval. The reference interval and the associated 210 confidence interval (CI) were determined by a non-211 parametric method according to the guideline of 212 Clinical and Laboratory Standards Institute (CLSI). 213 This was determined to be positive if the individual 214 MDS-OA β value was higher than the cut-off value. 215 In addition to the calculation of the sensitivity and the 216 specificity to differentiate between plasma samples of 217 patients with AD and subjects with NC, the positive 218 and negative predictive values were also calculated. 219 We also determined if the sensitivity and specificity of 220 MDS-OAB value within the 95% confidence interval 221 are 70.9% and 70.8% obtained in a clinical test [22], 222 respectively, using Z-test. Furthermore, to confirm 223 the correlative trend of MDS-OAB values and AD 224 severity, MDS-OA β levels were compared with the 225 CDR scores (ANOVA). All statistical analyses were 226 performed with the SPSS (version 23, IBM Corp., 227 USA), with a statistical significance *p*-value was set 228 at 0.05. 229

230 RESULTS

None of the 104 samples were excluded during 231 the test procedure. The average MDS-OAB values 232 of the AD and NC samples were 1.43 ± 0.30 ng/ml 233 and 0.45 ± 0.19 ng/ml, respectively, and there was 234 a significant difference between the two groups 235 (p < 0.001) (Fig. 2). The ROC analysis indicated an 236 optimal cut-off value (0.78 ng/ml), which allowed the 237 best differential discrimination between patients with 238 AD and NC subjects. In order to closely verify the 239 optimal cut-off value, we induced the reference inter-240 val by biding by the guideline from CLSI C28-A3; 241 'the (indirect) techniques are perhaps more appro-242 priately employed using data from individuals who 243 are relatively healthy.' The 95% reference interval 244 subjected to NC is 0.783 ng/ml and therefore, is 245 approximate to the cut-off value of ROC analysis. The 246 AUC was 0.999. As a result, all 52 samples of the AD 247 group were found to be positive, and 48 samples of 248 the NC group were negative, indicating 100% sensi-249 tivity (95% CI: 100%) and 92.31% specificity (95% 250 CI: 85.07~99.55%). The PPV and NPV were 92.86% 251 and 100%, respectively. The sensitivity of 70.9% and 252 specificity of 70.8% determined previously studied 253 [22] were within those of this test with the 95% con-254 fidence interval in a one-tailed test with significance 255 level of 0.025 (Z-test) (Table 2). 256



Fig. 2. MDS-OA β levels in heparin-treated plasma samples of Alzheimer's disease patients and subjects with community-based normal cognition. The concentration of MDS-OA β was significantly higher in AD patients than in NC subjects. **t*-test, *p* < 0.001; AD, patient with Alzheimer's disease; NC, subject with normal cognition.

Table 2									
Charact	eristi	cs of MI	OS-OAβ be	etween	subjec	ts	with Alz	zheime	r's
disease	and	normal	cognition	when	using	a	cut-off	value	of
0.78 ng/ml									

	AD	NC	Total	p^*
Positive	52	4	56	
Negative	0	48	48	
Total	52	52	104	
Sensitivity (%) (95% CI)	100 (100)			<0.0001
Specificity (%)		92.31		0.0006
(95% CI)		(85.07-99.55)		

NC, subject with normal control; AD, patient with Alzheimer's disease. *Ratio difference as to whether the sensitivity is greater than 70.9%; Z-test.

The MDS-OA β levels were significantly higher in patients with a CDR score of 0.5 (1.46 ± 0.33 ng/ml, n=25), 1 (1.53 ± 0.21, n=17), and 2 (1.26 ± 0.21, n=9), compared with CDR 0 (0.45 ± 0.19, n=25) (*t*-test, p < 0.001) (Fig. 3). Interestingly, the average of MDS-OA β levels decreased as the CDR score (0.5, 1, and 2) in AD patients increased; however, there was no significance (ANOVA, p = 0.084).

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DISCUSSION

Many researchers have chosen A β oligomers as a biomarker for the diagnosis of AD as it satisfies the criteria of an ideal biomarker, which was proposed by the Ronald and Nancy Reagan Research



Fig. 3. MDS-OA β level based on the clinical dementia rating. The decrease of the MDS-OA β mean with an increase of CDR score was not significant (p > 0.05); however, the MDS-OA β levels were significantly higher in patients with a CDR score of 0.5, 1, and 2 versus those with a CDR score 0 was significant (p < 0.001).

Institute-NIA in 1998 [25]. Several studies have sub-270 stantiated the positive correlation between the levels 271 of AB oligomers in plasma and the likelihood of 272 AD [26], and found the sensitivity and specificity 273 to be less than 85% [6]. The MDS-OAB mea-274 sures oligomerization dynamics of AB in the blood 275 without the need for specialized equipment, unlike 276 conventional techniques which directly measure AB 277 molecules using larger machines due to the low con-278 centration of target molecules in the blood [14]. In 279 this study, the oligomerization tendencies of A β in 280 AD and normal plasma were measured using the 281 MDS-OA β , and we demonstrated that the sensitivity 282 and specificity were 100% and 92.3%, respectively; 283 therefore, MDS-OAB has very high sensitivity and 284 specificity in distinguishing AD from NC. We used a 285 stringent patient recruitment criterion for this study. 286 For example, AD patients were followed-up for at 287 least 6 months by experienced neurologists to rule 288 out the possibility of cognitive impairment caused by 289 any other disease, and community-based NC subjects 290 without cognitive decline, were enrolled. 291

The spiked synthetic A β played an important role 292 in measuring oligometric A β in the plasma of AD 293 patients. Two hypotheses could be conceivable. The 294 first is that the spiked A β acts as a seed and induces 295 oligomerization. The other possibility is an oligomer-296 ization of spiked A β by factor X (not yet identified) 297 in AD plasma. They should be revealed in future 298 research. 299

Although the data was not shown in this study, while assessing 29 cases of the AD, all patients showed high MDS-OAB levels, and a PIB or Florbetaben PET was conducted. The standardized uptake value radio (SUVR) of 25 cases were positive, and 3 cases had a positive visual rating but negative SUVR. One case had a negative amyloid PET but had a typical CSF profile of AD. This patient may have a soluble form AB, which failed to produce AB plaques in the brain; therefore, only showing changes in the CSF biomarker [27-30]. While the MDS-OAB measures dynamics of AB oligomerization [14], amyloid PET only reveals the fibrillar form of A β in the brain [31], which may have caused the discrepancy. The other possible reason is that changes in CSF biomarkers may have occurred before the amyloid PET change. AB oligomerization tendency in plasma may reflect as early as changes in CSF biomarkers, which requires further study.

Many studies have argued that biomarkers, such as brain volume and CSF A β_{42} , p-tau, and t-tau which indicates the downstream effects of AD, show an increase in severity as the disease progress and formed a graph of the sigmoid curve [32, 33]. However, the average of MDS-OAB level in this study was the highest at CDR score 0.5 and the lower as AD progress (Fig. 3). MDS-OAB measures the oligomerization dynamics of AB, which corresponds to the derivative of the sigmoid function of AB accumulation. It is possible that this biomarker changes during the early phase of AD, as shown with other biomarkers associated with processes upstream of the AD pathomechanism, and decreases in expression as the disease progresses [34-36]. Another possible explanation could be that the concentrations of neuronal injury/death biomarkers decrease after symptom onset, which suggests slowing of the acute neurodegenerative processes with symptomatic disease progression [37].

One limitation of the present study was the age difference between the AD and NC groups. However, we found that there was no correlation between MDS-OA β levels and age in the 52 NC subjects (range 51–77, mean 60.5 ± 7.4), and speculated that age difference was not a significant variable in influencing MDS-OA β levels. Second, the MMSE score of AD increased in patients with CDR 2 and 3 (Table 1), but this is thought to be due to the patients being of a younger age than those with CDR 1. Additionally, because the numbers of subjects were CDR 2 and 3 with only 9 and 1 respectively, the reversal of MMSE with increasing CDR is not significant. 300

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Conclusions 352

Plasma samples of AD and NC subjects were dif-353 ferentiated using MDS-OAB, which measured the AB 354 oligomerization tendency of plasma. Furthermore, 355 MDS-OAB was found to have high sensitivity and 356 specificity. Based on the current findings, measuring 357 the AB oligomerization tendency in plasma could be 358 a simple and reliable blood-based biomarker for the 359 diagnosis of AD. 360

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